



Kentucky Education Savings Plan Trust

Additional Contribution by Mail

Use this form to contribute to an existing Program Account
Questions? Call toll-free 1-877-598-7878
 P.O. Box 8100, Boston, MA 02266-8100
 Visit www.kysaves.com

Instructions

- Use this *Additional Contribution by Mail* form only to contribute to an existing Program Account. (You must complete an *Account Application* to open an Account for another Account Owner and/or Beneficiary.)
- Print in capital letters using blue or black ink. Mail this form to the Program at the above address, along with your contribution check(s).

1 Account Information (Refer to your Account statement.)

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Program Account Number (Please complete one form for each Account)

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Telephone Number

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Account Owner or Custodian Name (First, MI, Last, Suffix), or Entity Name

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Beneficiary Name (First, MI, Last, Suffix)

2 Contribution Check Information

Make checks payable to, or appropriately endorse to, the Kentucky Education Savings Plan.

Contributions are permitted by personal checks, bank drafts, teller's checks and checks issued by a financial institution or brokerage account payable to the Account Owner and endorsed to the Program by the Account Owner, and third-party personal checks up to \$10,000 endorsed to the Program by the Account Owner. Checks must be drawn on a U.S. banking institution and must be payable in U.S. dollars. Contributions will be rejected and returned to the extent that they cause the aggregate market value of all accounts in the Program for the same Beneficiary to exceed the Maximum Contribution Limit. Please refer to the *Disclosure Booklet* for more information.

A note about Uniform Gift to Minors/Uniform Transfers to Minors Accounts (UGMA/UTMA):

If your contribution check represents proceeds from an UGMA/UTMA account, those funds can only be deposited into another UGMA/UTMA account for the same Beneficiary, subject to applicable law governing such accounts.

Check

Indicate the amount of your contribution into the selected Investment Option(s) below and enclose your contribution check(s), payable to the *Kentucky Education Savings Plan Trust*, along with this *Additional Contribution Form*.

Indirect Rollover

To roll over the proceeds from the redemption of another Qualified Tuition Plan account (QTP), indicate the amount you are contributing into the Investment Options in Section 4, and submit a check for the amount along with this form. The check must be received within 60 days of the date you received the withdrawal proceeds from the QTP account. *Provide the breakdown of cost basis and earnings in the box below and submit a statement from your former qualified tuition program with these amounts along with this form.*

Proceeds from the withdrawal of a Coverdell Education Savings Account (Coverdell ESA)

Indicate the amount you are remitting from your Coverdell Education Savings Account (Coverdell ESA) into the selected Investment Option(s) below, and submit your check for the amount with this form. *Provide the breakdown of cost basis and earnings below and submit an account statement with these amounts along with your check and this form.*

Proceeds from the redemption of a qualified U.S. savings bond

Indicate the amount you are remitting from the redemption of your qualified U.S. savings bond into the selected Investment Option(s) below and submit your check for the amount with this form. *Provide the breakdown of cost basis and earnings below and submit a Form 1099 with these amounts along with your check and this form.*

Cost Basis	\$																			
Earnings	\$																			
Total Indirect Rollover Amount	\$																			

Note: Unless the Program receives an account statement (or Form 1099 – INT for proceeds from a qualified U.S. savings bond), including a breakdown of cost basis and earnings or interest for your QTP rollover, Coverdell ESA account withdrawal, or qualified U.S. savings bond redemption, as applicable, the entire amount will be treated as earnings and will be reported to the IRS as earnings upon withdrawal.

3 Contribution Instructions (You must complete this section or your contribution will be rejected).

- Deposit my check(s) based on my current allocation instructions on file.** (Do not provide instructions in Section 4).
- Override Allocation Instructions.** (By selecting override, this contribution will be based on the allocation instructions you provide in Section 4). This will NOT affect your existing allocations (if any) currently on file.

4 Select Investment Option

This will not replace current allocations instructions (if any) currently on file. You can change your Allocation Instructions online, by telephone or by completing the applicable Program Form at any time.

Investment Option Name	Check if new Investment Option ¹	Contribution Amount(s) <i>(Minimum \$25 per Option)</i>								
Managed Allocation Option	<input type="checkbox"/> New Option	\$,				.	
Active Equity Option (1970)	<input type="checkbox"/> New Option	\$,				.	
Equity Index Option (1969)	<input type="checkbox"/> New Option	\$,				.	
Balanced Option (2006)	<input type="checkbox"/> New Option	\$,				.	
Fixed Income Option (1971)	<input type="checkbox"/> New Option	\$,				.	
Guaranteed Option (1819)	<input type="checkbox"/> New Option	\$,				.	
Total Contribution Check(s)		\$,				.	

Mail this form to:

Kentucky Education Savings Plan Trust
P.O. Box 8100
Boston, MA 02206-8100



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¹ If a new Investment Option is opened, a different option number will be assigned and new investment coupons will accompany your confirmation statement. You can also use the Additional Contribution by Mail form to contribute by check at any time.